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\*LIMITED TO MATTERS  
AND PROCEEDINGS BEFORE  
FEDERAL COURTS & AGENCIES  
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May 25, 2001

**WRITER'S DIRECT NUMBER:**

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Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Utility Patent Application  
Appl. No. 09/821,044; Filed: March 30, 2001  
For: **Fingerprint Scanner Auto-Capture System and Method**  
Inventor: David S. Smith  
Our Ref: 1823.0330001

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.  
Patent and Trademark Office:

1. A copy of the Notice to File Corrected Application Papers;
2. An executed Power of Attorney from Assignee;
3. An executed Certificate Under 37 C.F.R. § 3.73(b) with copy of assignment attached;
4. Submission of Substitute Drawings;
5. Nine (9) sheets of substitute drawings to Figures 1A-1C, 2A-2C, 3, 4A, 4B, 5A, 5B, and 6; and

Commissioner for Patents

May 25, 2001

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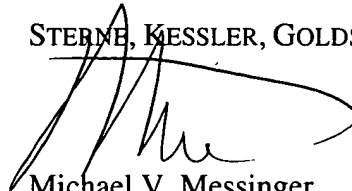
6. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Michael V. Messinger  
Attorney for Applicant  
Registration No. 37,575

MVM/JSW:asl

Enclosures



#3

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

David C. Smith

Appl. No. 09/821,944

Filed: March 30, 2001

For: **Fingerprint Scanner**  
**Auto-Capture System and Method**

Confirmation No. 5140

Art Unit: 2621

Examiner: To be assigned

Atty. Docket: 1823.0330001

**Submission of Substitute Drawings**Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached are copies of nine (9) sheets of substitute drawings in compliance with 37 C.F.R. § 1.84 for Figure(s) 1A-1C, 2A-2C, 3, 4A, 4B, 5A, 5B, and 6. The margins of each drawing sheet have been modified to comply with the margins indicated in the Notice to File Corrected Application papers mailed May 9, 2001.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN &amp; FOX P.L.L.C.

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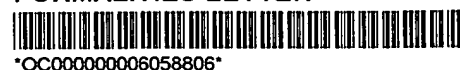
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/821,044	03/30/2001	David C. Smith	1823.0330001

CONFIRMATION NO. 5140

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FORMALITIES LETTER



\*OC00000006058806\*

Date Mailed: 05/09/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE